



RISK PROFILE ASSESSMENT QUESTIONNAIRE

Date:

QUESTION	RESPONSE
<p>Q.1 In which of the following have you made the highest investment till date?</p> <p>A. Bank FDs, Liquid funds, Provident Fund, Cash & equivalent</p> <p>B. Debt mutual funds, corporate bonds</p> <p>C. Equity oriented mutual funds, stocks</p> <p>D. Alternate assets such as commodities, real estate, currency, crypto</p>	
<p>Q.2 What percentage of your monthly income do you save?</p> <p>A. Less than 10%</p> <p>B. Between 10% and 25%</p> <p>C. Between 25% and 50%</p> <p>D. More than 50%</p>	
<p>Q.3 What is your primary objective when making an investment decision?</p> <p>A. Capital Protection</p> <p>B. Low risk - lower potential of return</p> <p>C. Medium risk - medium potential return</p> <p>D. High risk - high potential of return</p>	
<p>Q.4 You have inherited a sizeable amount recently, how would you invest the same?</p> <p>A. Deposit into a bank or invest in liquid funds</p> <p>B. Invest entirely into fixed income</p> <p>C. Invest majorly into equity & rest into fixed income</p> <p>D. Invest entirely into equities</p>	
<p>Q.5 Suppose you had invested in equity stocks & the equity markets fell by 20%, how would you respond?</p> <p>A. Sell everything & proceed to a conservative portfolio</p> <p>B. Sell some investments and continue to hold the rest</p> <p>C. Hold on to your investment</p> <p>D. Invest more in this portfolio</p>	
<p>Q.6 Which of the following age brackets do you currently fall in?</p> <p>A. Above 60 years of age</p> <p>B. Between 45 and 60 years of age</p> <p>C. Between 30 and 45 years of age</p> <p>D. Less than 30 years of age</p>	
YOUR RISK PROFILE	

CLIENT DETAILS		
NAME	ACCOUNT NO.	PAN NO.
SIGNATURE		

Note:
It is mandatory to answer all questions
Please refer to product specific disclaimer before investing

OFFICE USE ONLY (This section needs to be filled by the Bank official)

Supervisor Name			
Signature			
ECN		Location	

RISK PROFILE CALCULATOR						
Question Number	Response Score Sheet				Client Response	Response Score
	A	B	C	D		
Q. 1	10	20	30	40		
Q. 2	10	20	30	40		
Q. 3	10	20	30	40		
Q. 4	10	20	30	40		
Q. 5	10	20	30	40		
Q. 6	10	20	30	40		
Identified Risk Profile					Total Score	

RISK PROFILE TABLE		
Risk Profiles	Min. Score (equal to or greater than)	Max. Score (less than)
Very Conservative	60	96
Conservative	96	132
Moderate	132	168
Aggressive	168	204
Very Aggressive	204	240

STEPS TO CALCULATE RISK PROFILE OF YOUR CLIENT

- Write down customer response in the 'Customer Response' column
- Note the response score from the 'Response Score Sheet' table and write it down in 'Response Score' column
- Calculate total sum of response score in the 'Total Score' box
- Use the 'Risk Profile Table' to identify the bracket in which the total score falls & the respective Risk Profile
- Put the Identified Risk Profile in the 'Identified Risk Profile' box

Example: If the total score is 180, then the Identified Risk Profile will be Aggressive.

Investments Disclaimers:

- IndusInd Bank is an "AMFI-registered Mutual Fund Distributor", wherein the Bank distributes products of various Asset Management companies.
- The initial registration date for ARN - 0633 is 1st Jan 2003 and the current validity of the ARN is 1st Jan 2025.
- IndusInd Bank is paid commissions for investment made under broker code ARN-0633. Any commission's receivable is subject to claw back as per guidelines set by regulator, interpreted & laid down by AMCs.
- Regular plans involve payment of commission to MFDs.
- As per SEBI circular no. Cir/IMD/DF/13 /2011 dated August 22, 2011 Mutual Funds are allowed to pay transaction charge to distributor per subscription of ₹10,000 & above. IndusInd Bank has "Opted Out" of this. Hence no such charge will be levied by Mutual Fund on the investment amount.

Date:

Place:

Name:

Signature